Deep-Rooted Trees for Urban Environments: Selection and Propagation

David W. Burger and Todd E. Prager

Abstract. Seedling liners of three tree species (Fraxinus uhdei, Pistacia chinensis, and Zelkova serrata) were planted in the field and grown for 18 months. Pneumatic air excavation followed by digital photography, three-dimensional modeling, and root analysis showed there were significant differences in root architecture among the three species and within each species’ population. Among the three species, Pistacia chinensis had, on average, the deepest, most vertically oriented root systems and Fraxinus uhdei and Zelkova serrata the shallowest; however, there were shallow-rooted and deep-rooted genotypes in each species. Shallow-rooted and deep-rooted genotypes of Fraxinus and Zelkova selected from the seedling populations were propagated vegetatively by cuttings, planted in the field, and grown for 5 to 6 years. On excavation and three-dimensional model creation, the root architecture of the cutting-propagated clones was assessed using liminal angles and individual root angles. Cutting-propagated clones of shallow-rooted parents were shallow-rooted; thus, they maintained the parents’ root architecture. Cutting-propagated clones of deep-rooted parents were also shallow-rooted; they did not maintain their parents’ root architecture. Results are discussed in terms of genetic, physiological (e.g., auxin treatment, adventitious root formation), and environmental (e.g., soil moisture) factors that can affect tree root growth and development.

Key Words. Arboriculture; gravitropism; infrastructure damage; liminal angle; root architecture, root growth; root system genetics; street tree; urban forestry.

Trees in urban environments provide many biologic, environmental, economic, and social benefits. These benefits come with some cost, however. Some trees have root systems that cause substantial damage to sidewalks, curbs, and gutters. Damage results from a number of factors: small planting areas, restrictive soils, and use of large-stature trees in confined areas (Costello and Jones 2003). In some cases, the trees’ inherent tendency to have shallow roots is thought to be a key factor (Hamilton 1984a). As these shallow roots produce secondary thickening, they tend to lift and crack pavements. Several tree species commonly planted in northern California, U.S., have been associated with sidewalk and curb displacement: Liquidambarstroyciflua (sweetgum), Morus alba (white mulberry), Fraxinus spp. (ash), Ulmus spp. (elm), Magnolia grandiflora (Southern magnolia), Prunus spp., Pinus radiata (Monterey pine), Eucalyptus globulus (blue gum eucalyptus), and Cinnamomum camphora (camphor) (Hamilton 1984a; Costello and Jones 2003).

This problem is of major proportions in many cities. In a 1984 survey of cities in the Bay Area of California, 60% of the street trees were estimated to have caused some or severe damage to the urban infrastructure (Hamilton 1984a). A subsequent survey of sidewalks in San Jose, California, found the estimated repair cost for tree-related damage to be $14.3 million and annual concrete repair costs attributed to tree damage ranged from $0.18 to $13.65 per tree (Peper and McPherson 1995). Most recently, McPherson and Peper (2000) found in California that approximately $70.7 million was spent annually statewide as a result of conflicts between tree roots and urban infrastructure (e.g., sidewalks, street pavement, curbs, and gutters). It is quite apparent that even a partial solution to this problem would result in substantial savings for city residents and governments.

Various strategies such as engineering, design and improved materials, and biologic/genetic approaches have been suggested in hopes of solving this problem (Hamilton 1984b; Costello and Jones 2003). Engineering solutions include the use of steel plates bolted directly to exposed roots to prevent or minimize future damage and the use of root barriers in an attempt to force roots down below sidewalks and curbs. Design solutions include providing adequate planting strip space for tree trunk diameter increases and root crown flare. Newer paving materials may provide the necessary flexibility to prevent cracking and complete disruption of the pavement. Another strategy is the biologic/genetic approach: to identify, select, and vegetatively propagate trees that are genetically “programmed” to be deep-rooted. The basic premise behind this approach is that it is reasonable to expect much variability in belowground architecture for trees as is found aboveground (Barker 1987; Bowman 1941). Two questions are addressed in this study: 1) are there genetic differences in root depth and orientation between and within tree species; and 2) if root architecture is under genetic control, can it be maintained through vegetative propagation techniques?

MATERIALS AND METHODS

Survey
A survey of six northern California cities (Modesto, Redwood City, Palo Alto, Berkeley, Sacramento, Sunnyvale) was conducted to identify species observed to cause infrastructure damage. More than 20 species were identified and three were selected for the research project: Fraxinus uhdei (Wenzig) Lingelsh. (green ash), Pistacia chinensis Bunge (Chinese pistache), and Zelkova serrata (Thunb.) Makino (zelkova).

Seed-Propagated Trees in the Field
Fifty seed-propagated individuals (liners) of each of the selected tree species were purchased and planted in a field plot in July.
1997. The trees were planted in rows of 15 and spaced 3.05 m (10.1 ft) apart. There were three rows of each species making a total of 45 seed-propagated genotypes for each species. Each tree was given an identification that reflected its species, row number, and tree number. For example, the fifth *Fraxinus* tree in row two would be 2Frax5. The field plot was chosen for its consistent soil texture (Yolo Loam with 43% sand, 32% silt, and 25% clay) and soil depth (more than 2 m [6.6 ft]). From mid-March to late November each year, all trees were irrigated weekly with a subsurface irrigation system using a 0.28 cm (0.1 in) OD soaker hose (Teknor Apex Co., Pawtucket, RI). During each irrigation event, the soil within an approximately 1 m (3.3 ft) radius of the tree was brought to field capacity (approximately 22% moisture content) to a depth of at least 1 m (3.3 ft). Irrigation frequency and timing necessary to reach field capacity were determined early on in the project by taking soil cores down to an approximately 0.9 m (2.97 ft) depth followed by soil moisture content determinations. Deep irrigation was practiced to reduce the potential influence of shallow irrigation on root growth and development. Periodic herbicide applications of XL 2G (Dow Agro-Sciences, Indianapolis, IN), glyphosate (Roundup; Monsanto, St. Louis, MO), and Rout (The Scotts Company, Marysville, OH) were used to control weeds. In December 1998, 15 trees of each species were randomly selected and excavated using a pneumatic technique (Air-Spade®; Concept Engineering Group, Inc., Verona, PA) (Nathenson and Jarabak 2001; Smiley 2001) that removed the soil without observable damage to roots larger than approximately 5 mm (approximately 0.2 in) in diameter. At this time, the selected trees ranged in height from 2.5 m (8.25 ft) to 3.5 m (11.55 ft) tall with trunk calipers at ground level of between 5.1 cm (2.04 in) and 10.8 cm (4.23 in).

After soil excavation, each root system was marked with brightly colored push pins as photograph reference markers. The push pins were inserted into major structural roots (larger than 2 cm [0.8 in]) at locations where the root changed direction or where there were large gaps (more than 20 cm [approximately 8 in]) between push pins. Root segments between push pins were deemed to be straight lines. Digital photographs were taken from four vantage points roughly 90° apart around the root system (Figure 1). Photograph files were imported into modeling software (PhotoModeler Pro 5.0; Eos Systems, Vancouver, BC, Canada) and marked to label specific root locations on each photograph using the colored push pins. The base of each push pin was used in this process to mark the uppermost surface of each root. Once marked, PhotoModeler software created three-dimensional models with accompanying X, Y, and Z coordinates. For each root system, the photo plot was chosen for its consistent soil texture and soil depth (more than 2 m [6.6 ft]). From mid-March to late November each year, all trees were irrigated weekly with a subsurface irrigation system using a 0.28 cm (0.1 in) OD soaker hose (Teknor Apex Co., Pawtucket, RI). During each irrigation event, the soil within an approximately 1 m (3.3 ft) radius of the tree was brought to field capacity (approximately 22% moisture content) to a depth of at least 1 m (3.3 ft). Irrigation frequency and timing necessary to reach field capacity were determined early on in the project by taking soil cores down to an approximately 0.9 m (2.97 ft) depth followed by soil moisture content determinations. Deep irrigation was practiced to reduce the potential influence of shallow irrigation on root growth and development. Periodic herbicide applications of XL 2G (Dow Agro-Sciences, Indianapolis, IN), glyphosate (Roundup; Monsanto, St. Louis, MO), and Rout (The Scotts Company, Marysville, OH) were used to control weeds. In December 1998, 15 trees of each species were randomly selected and excavated using a pneumatic technique (Air-Spade®; Concept Engineering Group, Inc., Verona, PA) (Nathenson and Jarabak 2001; Smiley 2001) that removed the soil without observable damage to roots larger than approximately 5 mm (approximately 0.2 in) in diameter. At this time, the selected trees ranged in height from 2.5 m (8.25 ft) to 3.5 m (11.55 ft) tall with trunk calipers at ground level of between 5.1 cm (2.04 in) and 10.8 cm (4.23 in).

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X and Y coordinates from the three-dimensional models were used to calculate the linear distance (LD) of each push pin location from the origin in the X–Y plane (soil surface). Linear regressions of LD versus depth (Z coordinate) for each tree were conducted using the SAS PROC REG (SAS Institute, Inc., Cary, NC) (Figures 2 and 3). This was followed by an analysis of covariance using PROC GLM to determine significant differences among the calculated slopes. We arbitrarily defined shallow-rooted trees to have slopes between 0 and −1.0 and deep-rooted trees to have slopes less than −1.5. A tree that had a root distribution slope of −1.0 meant the average angle from the soil surface was −45° (see Figures 2 and 3).

The X, Y, and Z coordinates were used to calculate the individual root angle (IRA) and the liminal angle (LA) (Johnson and Tibbitts 1968; Coutts and Nicoll 1991) (Figure 4). The IRA was defined as the angle (relative to the soil surface) for each root segment between adjacent push pins. A horizontal root segment had an IRA = 0 and a vertical root segment had an IRA = −90. The angle from the vertical Z axis (plumb line through trunk origin) to each push pin was defined as the LA. The tree’s origin was defined as that point in the center of the main trunk where the X and Y coordinates were both 0; therefore, the origin was defined as 0, 0, 0. A vertical root had an LA = 0 and a horizontal root had an LA = 90. IRA and LA were calculated for each root segment (IRA) and for each push pin (LA) for 15 trees of each species. The IRA was determined by: 1) calculating the differences (dx, dy, dz) between adjacent pushpin X, Y, and Z coordinates; 2) calculating the length of the root segment by taking the square root of the summed squares of the dx, dy, and dz values; and 3) taking the arc sine of dx divided by the length of the root segment. The LA for each push pin was determined by: 1) calculating the distance of each push pin from the X–Y origin (square root of x2 + y2); 2) calculating the distance of the pushpin from the X–Y–Z origin by taking the square root of (x2 + y2 + z2); and 3) taking the arc sine of (distance from X–Y origin/ distance from X–Y–Z origin). The end result of these calculations provided angles (relative to the soil surface) of each root segment (IRA) and LAs of each push pin relative to the plumb line or Z axis through the trunk center (LA). These data were analyzed using PROC GLM (SAS Institute, Inc.).

**Vegetatively Propagated Clones**

**Genotype Selection**

Based on the root architecture analysis from the seed-propagated trees, two shallow-rooted genotypes (i.e., those having a slope greater than −1.0) and two deep-rooted genotypes (i.e., those having a slope less than −1.5) from each species were selected for vegetative propagation. The shallow-rooted genotypes included 3Pist14 (slope = −0.49) and 3Pist15 (slope = −0.92) for *Pistacia*, 2Zelk4 (slope = −0.57) and 2Zelk6 (slope = −0.75) for *Zelkova*, and 2Frax9 (slope = −0.61) and 2Frax10 (slope = −0.82) for *Fraxinus*. The deep-rooted genotypes included 3Pist7...
Genotype Cutting Propagation

**Pistacia chinensis:** The protocol established by Lee et al. (1976) was followed. Terminal stem cuttings, 10.2 to 15.2 cm (4.1 to 6.1 in), were taken in August 2000. The base of each cutting was dipped in a powder formulation of IBA, 40 mM (0.8%) (Hormodin #3), and placed in a 30.5 cm × 50.8 cm × 6.3 cm (12.2 × 20.3 × 2.5 in) plastic flat containing a 1:1 (v:v) mixture of peat moss and perlite. The flats were placed under intermittent mist (5 sec every 5 min, dawn to dusk) with no bottom heat. After 9 weeks, all the cuttings were removed from the mist bench, transplanted into 15.2 cm (6.1 in) pots containing UC Mix (1:1:1, by volume of peat moss, redwood sawdust, and sand), and grown in a greenhouse (24°C to 27°C (75.2°F to 80.6°F) for 4 weeks.

**Zelkova serrata:** The protocol established by Dirr and Frett (1983) was followed. Terminal stem cuttings, 10.2 to 15.2 cm (4.1 to 6.1 in), were taken in August 2000. The base of each cutting was treated for approximately 30 sec in an 80 mM (16,000 ppm) IBA, 50% ethanol solution. The cuttings were immediately placed in a 30.5 cm × 50.8 cm × 6.3 cm (12.2 × 20.3 × 2.5 in) plastic flat containing a 1:1 (v:v) mixture of peat moss and perlite. The flats were placed under intermittent mist (5 sec every 5 min, dawn to dusk) with no bottom heat.

**Fraxinus uhdei:** The protocol established by Evans (1971) was followed. Terminal stem cuttings, 10.2 to 15.2 cm (4.1 to 6.1 in), were taken in August 2000. The base of each cutting was dipped in a powder formulation of IBA, 40 mM (0.8%) (Hormodin #3), and placed in a 30.5 cm × 50.8 × 6.3 cm (12.2 × 20.3 × 2.5 in) plastic flat containing a 1:1 (v:v) mixture of peat moss and perlite. The flats were placed under intermittent mist (5 sec every 5 min, dawn to dusk) with no bottom heat. After 9 weeks, all the cuttings were removed from the mist bench, transplanted into 15.2 cm (6.1 in) pots containing UC Mix (1:1:1, by volume of peat moss, redwood sawdust, and sand), and grown in a greenhouse (24°C to 27°C (75.2°F to 80.6°F) for 4 weeks.
Koelreuteria, and in a relatively short period of time compared with Gleditsia aggressive species in terms of its root spread under sidewalks. Spade

Amato et al. (2002) suggested that '1992; Sommer and Summit 1996; Costello and Jones 2003). All three species have appeared on previously published lists of trees commonly thought to cause infrastructure damage (Hamilton 1984a; Wagar and Barker 1985; Sommer and Cecchettini 1984a; Wager and Barker 1985; Sommer and Cecchettini 1992; Sommer and Summit 1996; Costello and Jones 2003). D’A mato et al. (2002) suggested that Zelkova serrata was an “aggressive” species in terms of its root spread under sidewalks in a relatively short period of time compared with Gleditsia, Koelreuteria, and Quercus. See the results in Table 1.

**RESULTS AND DISCUSSION**

**Survey**

The three tree species selected were of interest to the city arborists who were interviewed because of their positive attributes. However, these trees were rarely recommended or planted as a result of their reputations for causing sidewalk and curb damage. All three species have appeared on previously published lists of trees commonly thought to cause infrastructure damage (Hamilton 1984a; Wager and Barker 1985; Sommer and Cecchettini 1992; Sommer and Summit 1996; Costello and Jones 2003). D’A mato et al. (2002) suggested that Zelkova serrata was an “aggressive” species in terms of its root spread under sidewalks in a relatively short period of time compared with Gleditsia, Koelreuteria, and Quercus.

**Seed-Propagated Trees in the Field**

Many of the roots from the three species exposed with the Air-Spade® were heart roots, originating from the buttress of the trunk, or lateral roots. There were very few tap, sinker, or striker roots (roots growing vertically downward from shallow lateral roots). Three-dimensional models of the experimental trees showed a distribution from very shallow-rooted to very deep-rooted (Figures 2 and 3). In the figures, a slope of −1.0 indicated a mean angle of −45° from the horizontal soil surface (see Figures 2 and 3). The slope of the linear regressions provided some measure of the average rooting depth of each tree root system. The slopes ranged from −0.54 to −2.47 for Pistacia, from −0.51 to −2.07 for Zelkova. Linear equations were fitted to these data and the slopes used to compare root systems within each species. Deeper root systems had more negative slopes of the fitted lines. Pistacia chinensis trees had the most negative mean slope (deepest root systems) (Table 1) and also had the highest percentage of trees with slopes below −1.0 (data not shown). Fraxinus uhdei and Zelkova serrata were less negative and not significantly different from one another.

Significant differences in LA where found among the three species. Pistacia chinensis had the lowest LA with Zelkova serrata intermediate and Fraxinus uhdei the highest (Table 1). Deeper root systems had lower mean LA values. There was a significant difference in mean IRA between Pistacia chinensis and Zelkova serrata (Table 1) with Fraxinus uhdei being intermediate. Deeper root systems had more negative mean IRA values. Taken together, the slope, LA, and IRA values all indicated that Pistacia chinensis had, on average, the deepest roots systems for the seed-propagated genotypes. Differences between Fraxinus uhdei and Zelkova serrata genotypes were not as clear, but both had root systems that were significantly shallower than Pistacia chinensis.

All three methods (slope, LA, IRA) showed the same basic trends among the three tree species. Seed-propagated trees of Pistacia chinensis had the most negative slope, lowest LA, and more negative IRA values, all indicating deeper root systems for Pistacia. These results corroborate earlier work (Hamilton 1984a; Wagar and Barker 1985; Sommer and Cecchettini 1992; Sommer and Summit 1996; D’A mato et al. 2002). There are genetic differences in root architecture (e.g., depth) among trees species that may or may not be altered in response to soil conditions.

**Genotype Cutting Propagation**

The three tree species had different capacities for forming adventitious roots in response to the treatments imposed. No roots were formed on any of the Pistacia chinensis cuttings; therefore, it was not possible to continue the research with that species. There were also dramatic differences in the abilities of the four genotypes of Zelkova serrata to form adventitious roots. No cuttings from genotypes Z2Zelk2 and Z2Zelk6 formed adventitious roots.

<table>
<thead>
<tr>
<th>Genus</th>
<th>Slope</th>
<th>LA (°)</th>
<th>IRA (°)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fraxinus uhdei</td>
<td>−1.1</td>
<td>47.8</td>
<td>−39.5 ab</td>
</tr>
<tr>
<td>Zelkova serrata</td>
<td>−1.2</td>
<td>39.4</td>
<td>−35.8 a</td>
</tr>
<tr>
<td>Pistacia chinensis</td>
<td>−1.5</td>
<td>33.7</td>
<td>−41.9 b</td>
</tr>
</tbody>
</table>

Means followed by the same letter are not significantly different at $P = 0.01$ using Scheffe’s mean separation test.
Table 2. Mean liminal angle (LA) and individual root angle (IRA) for seed-propagated and cutting-propagated trees of *Fraxinus* and *Zelkova.*

<table>
<thead>
<tr>
<th>Tree genotype</th>
<th>Root type</th>
<th>LA (°)</th>
<th>IRA (°)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Seed propagated</td>
<td>Cutting propagated</td>
<td>Seed propagated</td>
</tr>
<tr>
<td>2Frax9</td>
<td>Shallow</td>
<td>50.8 a</td>
<td>48.8 a</td>
</tr>
<tr>
<td>2Frax10</td>
<td>Shallow</td>
<td>52.7 a</td>
<td>51.4 a</td>
</tr>
<tr>
<td>2Frax8</td>
<td>Shallow</td>
<td>48.7 a</td>
<td>60.8 b</td>
</tr>
<tr>
<td>3Frax13</td>
<td>Deep</td>
<td>38.0 a</td>
<td>59.7 b</td>
</tr>
<tr>
<td>2Zelk4</td>
<td>Shallow</td>
<td>50.2 a</td>
<td>56.2 b</td>
</tr>
<tr>
<td>2Zelk9</td>
<td>Deep</td>
<td>37.6 a</td>
<td>57.6 b</td>
</tr>
</tbody>
</table>
and D. Quickert (Tree Associates, Inc.) for their help in root excavation, and N.H. Williis for help in statistically analyzing the data. Research was supported by the Elvenia J. Slosson Ornamental Horticulture Endowment.

LITERATURE CITED


David W. Burger (corresponding author)
Department of Plant Sciences
Mailstop #2
University of California
Davis, CA 95616, U.S.
dwburger@ucdavis.edu

Todd E. Prager
City Arborist
13125 SW Hall Blvd.
Tigard, OR 97223, U.S.

Résumé. Des lignées de semis de trois espèces d’arbres (Fraxinus udehi, Pistacia chinensis et Zelkova serrata) ont été plantés en champs et laissés en croissance durant 18 mois. Une excavation hydraulique à l’air suivie de photographies digitales, d’une modélisation en trois dimensions et d’une analyse des racines a permis de déterminer qu’il n’y avait pas de différences significatives dans l’architecture des racines parmi ces trois espèces ainsi qu’au sein de chacune des populations parentale et de l’espèce. Parmi les espèces d’arbres, Pistacia chinensis avait en moyenne les systèmes racinaires les plus profonds et les plus orientés verticalement tandis que Fraxinus udehi et Zelkova serrata avaient les plus superficiels; néanmoins, il y avait pour chaque espèce des génotypes plus superficiels et d’autres plus profonds. Les génotypes plus superficiels et plus profonds de Fraxinus et de Zelkova sélectionnés à partir de populations de semis ont été propagés végétativement à partir de boutures, plantés en champs et laissés en croissance durant 5 à 6 ans. Suite à l’excavation et la création d’un modèle 3-D, l’architecture des racines des clones propagés végétativement avait des angles très faibles. Les clones propagés végétativement de parents à racines superficielles étaient eux aussi superficiels, mais de façon moins efficace. Les clones propagés végétativement de parents à racines profondes avaient des racines superficielles; ils n’avaient donc pas maintenu l’architecture racinaire des parents. Les résultats sont discutés en termes de facteurs génétique, physiologique (traitement d’austérité) et formation de racines adventives) et environnemental (humidité du sol) qui peuvent affecter la croissance et le développement racinaire.

Resumen. Se plantaron en el campo tres especies de árboles (Fraxinus uhdei, Pistacia chinensis y Zelkova serrata) y se observó su crecimiento por 18 meses. La excavación neumática de aire seguida de fotografía digital, modelamiento tridimensional y análisis de raíces mostraron diferencias significativas en la arquitectura de las raíces entre las tres especies y dentro de cada población de especies. Entre las tres especies, Pistacia chinensis tuvo en promedio el sistema de raíces más profundo, más verticalmente orientado y Fraxinus uhdei y Zelkova serrata los más someros; sin embargo, hubo genotipos con sistemas de raíces profundos y someros en cada especie. Los genotipos de raíces profundas y someras de Fraxinus y Zelkova, seleccionados de las poblaciones de brinzales, fueron propagados vegetativamente, plantados en el campo y crecidos por 5–6 años. Con la excavación y creación del modelo tridimensional, la arquitectura de raíces de los clones propagados fue evaluada usando ángulos de raíces individuales. Las raíces de los clones propagados por cortes de raíces someras fueron podadas y mantuvieron esta arquitectura. Las de las raíces profundas también fueron podadas pero no mantuvieron su arquitectura. Los resultados son discutidos en términos de genética, fisiología (por ejemplo, tratamientos con auxinas, formación de raíces adventicia) y ambientales (por ejemplo, humedad del suelo) factores que pueden afectar el crecimiento y desarrollo de la raíz del árbol.