

Arboriculture & Urban Forestry 2009. 35(1):41–46.



# Six-Year Evaluation of Circular Root Barriers on Two Tree Species

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Abstract. The influence of four circular root barriers on surface root development and tree growth was evaluated on Liquidambar styraciflua (American sweetgum) and Ficus microcarpa (Indian laurel fig) in southern California, U.S. Six years after installation, root barriers had reduced the total number of roots growing in the surface 15 cm (6 in) of soil and nearly eliminated large (diameter 5 cm [2 in] or greater) surface tree roots within a 120 cm (48 in) radius of the trunk. Various sizes and types of low-cost noncommercial barriers, including a container-grown tree's nursery container with the bottom removed, were equally effective in reducing the number of large-diameter surface roots. However, roots grew below barriers and returned to the surface soil when soil texture, bulk density, and water content were near optimum for root growth at the bottom of the barrier. Many small roots 1.25 cm (0.5 in)  $\leq$  diameter less than 2.5 cm (0.5 in  $\leq$  diameter to less than 1 in) were found growing in the upper 15 cm (6 in) of soil just beyond barriers within 18 to 60 cm (7 to 24 in) of the trunk. No barrier treatment reduced the number of small roots of either species beyond 60 cm (24 in) radius from the trunk. Keeping pavement at least 120 cm (48 in) away from trees would be as effective as a root barrier in reducing the possibility of damage from large surface roots. A #15 nursery container serving as a root barrier reduced caliper increase of both species, whereas this treatment and the DeepRoot® barrier treatment reduced height increase of Liquidambar.

Key Words. Landscape trees; root diameter; root systems; surface roots; tree growth.

When too little urban space is allocated for tree root systems to grow and develop, they often damage nearby infrastructure. In many urban areas of the United States and other countries, tree root damage to infrastructure is a considerable problem (Costello and Jones 2003). For example, McPherson (2000) estimated that in California, U.S. alone, over \$70 million was spent annually to repair sidewalks, curbs, pavement, and other hardscape broken or lifted by tree roots and that this amount represented only a portion of the repairs that are actually needed.

Installation of circular root barriers when planting street or parking lot trees is often specified as a means of preventing or delaying damage to hardscape by future root growth (Randrup et al. 2001). Research with several tree species has shown root barriers have variable effects on root distribution (Costello and Jones 2003). All of the studies except Gilman (2006) were relatively short-term, evaluating barrier effects approximately 3 years after treatments were established.

An early study by Wilson (1967) under controlled conditions demonstrated that laterally growing, very small 1 to 2 mm (0.04 to 0.08 in) diameter roots of red oak turned downward when presented with a rigid barrier placed perpendicular to their growth path. The roots then grew to the bottom of the barrier, recurved, and continued growing. More recent field research experiments using various physical and chemical barrier treatments with several tree species found roots grew down and under barriers and returned to the soil surface a short distance beyond the barrier (Wagar 1985; Urban 1994; Gilman 1996, 2006; Costello et al. 1997; Peper 1998; Peper and Mori 1999; Smiley 2005; Smiley et al. 2000). Roots that grew down and out of barriers were delayed in reaching the surface soil and occurred there in fewer numbers compared with controls. Sometimes roots were found in the upper 30 cm (12 in) of soil within 30 cm (12 in) of the barrier (Costello et al. 1997; Peper 1998; Peper and Mori 1999), whereas

other times, they were found in the upper 15 cm (6 in.) of soil at distances from 30 cm (12 in) to 150 cm (60 in) from the barrier. The distance outside a barrier that roots would likely return to the surface soil and continue growing was not narrowly defined in these studies. With the exceptions of Gilman (2006) and Smiley (2005), previous studies were conducted with barriers that completely circled the tree root system.

Many studies concluded that tree species and soil properties are the key factors determining the number of roots that return near the soil surface, the depth that they grow, and the distance from the trunk at which they return to the surface (Barker 1995a, 1995b; Costello et al. 1997; Peper 1998; Peper and Mori 1999; Randrup et al. 2001; Gilman 2006).

The objectives of this study were to: 1) compare the effectiveness of three simple, low-cost physical barrier materials and a commercial physical circular root barrier in preventing surface root development of two commonly used landscape tree species several years after planting; 2) determine the influence of these circular physical root barriers on tree growth; and 3) define the distance beyond a barrier that surface roots occur.

#### **MATERIALS AND METHODS**

Two commonly planted street, parking lot, and landscape tree species observed to develop extensive surface roots (Warriner 1999; Costello and Jones 2003), *Liquidambar styraciflua* L. (American sweetgum) and *Ficus microcarpa* L.f. (Indian laurel fig), were transplanted from #5 containers [12.6 l (3.3 gal.)] into a field experiment in June 1992 at the University of California in Riverside, California. Trees were planted at a spacing of 6.1 m  $\times$  6.1 m (20 ft  $\times$  20 ft) in planting pits approximately 1.2 m  $\times$  0.9 m wide  $\times$  0.8 m deep (4 ft  $\times$  3 ft  $\times$  2.5 ft). The following five circular physical root barrier treatments were established at planting

(Table 1): 1) control, no barrier; 2) DeepRoot® barrier (model UB 24-2; Deep Root Partners, LP, San Francisco, CA) with dimensions of 0.8 m (2.5 ft.) diameter × 0.6 m (2 ft) deep; 3) #15 plastic nursery container with the bottom removed, having a top diameter 35.6 cm (14 in), height 43.2 cm (17 in), and volume 38.6 l (10 gal); 4) original #5 plastic nursery container used to produce the tree with the bottom removed and having a top diameter 25.4 cm, height 30.5 cm, and volume 12.6 l (3.3 gal); and 5) black polyethylene, 0.3 mm (0.012 in) thick, formed into a sleeve the same dimensions as the #15 container. The sleeve was manufactured on-site by wrapping the polyethylene from a roll around a #15 container and applying vinyl adhesive tape to hold the seam.

The study was a  $2 \times 5$  factor (two tree species  $\times$  five root barrier treatments) experiment with 10 replicates arranged in a fully randomized  $10 \times 10$  Latin square design of 100 trees. Tree growth, root size, and root location data were subjected to analysis of variance using the GLM procedure in SAS (SAS Institute, Inc., Cary, NC).

Planting pits were partially filled with soil and watered to settle the soil before setting the barriers. Backfill consisted of the native soil excavated from the planting pits. Barriers were set so that their top edge was 5 cm (2 in) above the surrounding grade, and trees were transplanted into barriers so that the surface of their root balls was even with the surrounding grade. Control trees and trees retained in their #5 plastic nursery container with the bottom removed were planted so that their root balls were even with the surrounding soil. Trees were not staked because their trunks were of sufficient caliper to support them. The entire plot was thoroughly irrigated before transplanting and trees were thoroughly hand-watered immediately after final backfilling of the planting pits and barriers.

The soil at the site was a Hanford coarse sandy loam (coarse-loamy, mixed, thermic Typic Durizeralf) with pH of 7.2, electrical conductivity 1.0 dS/m<sup>-1</sup>, and bulk density of 1.4 to 1.5 g/cm<sup>-3</sup>. Its characteristics were uniform to a depth of at least 60 cm. The entire field was deep tilled before planting which reduced the bulk density to 1.2 g/cm<sup>-3</sup>. At the end of the study, the bulk density was remeasured and averaged 1.5 g/cm<sup>-3</sup> or less to a depth of 60 cm.

Irrigation was managed during the study so that soil water content was not limiting below the bottom of the root barriers. Soil water content was monitored by gravimetric soil moisture determinations and a pair of tensiometers placed approximately 15 cm outside the barrier at one replicate of each barrier-tree species treatment. One tensiometer was set 30 cm and one 60 cm deep at each location. Irrigation was scheduled to maintain soil moisture content at 70% or greater of field capacity at the 60 cm depth, which assured nonlimiting soil moisture for root development below the deepest root barrier treatment. As a result of the Mediterranean climate in Riverside, irrigation was scheduled regularly and frequently (two to four times per week) from spring through fall and irregularly and infrequently during the

Table 1. Dimensions and interior surface features of circular root barrier treatments, Riverside, CA.

| Barrier             | Ht (cm) | Diameter of top (cm) | Volume (L) | Interior surface |
|---------------------|---------|----------------------|------------|------------------|
| #5 container        | 30.5    | 25.4                 | 12.6       | Smooth           |
| Polyethylene sleeve | 43.2    | 35.6                 | 38.6       | Smooth           |
| #15 container       | 43.2    | 35.6                 | 38.6       | Smooth           |
| DeepRoot® UB 24-2   | 61.0    | 61.0                 | 163.4      | Vertical ribs    |

winter. The planting was irrigated for the first 11 months with drip irrigation in which an emitter was placed inside and immediately outside of each barrier to maintain the desired level of soil moisture in and below the barrier. From Month 12 to the end of the experiment, the planting was irrigated with a minisprinkler system that applied water to the entire planted area. Trees were fertilized annually in the spring or early summer the first 3 years of the study, and no fertilizer was applied in succeeding years. In Years 1 and 2, each tree received 37 g (1.3 oz) nitrogen (N) from urea applied within the dripline; in Year 3, each tree received 71 g (2.5 oz) N from 21-0-0 applied also applied within the dripline.

The field was kept weed-free in Years 1 through 3 by the combination of hand-weeding and pre-emergent herbicides (oxadiazon 2% and oryzalin 4AS at minimum label rates) applied each spring and fall. Weed management was achieved in succeeding years with hand-weeding and spot-spray applications of glyphosate.

Tree height and trunk caliper 15 cm above the soil line were measured annually. Six years after planting, trees were cut 60 cm above the soil, their canopies discarded, and the root zone of each tree was excavated using the tines on the bucket of a backhoe in combination with hand-raking to remove the upper 15 cm of soil in a radius of 180 cm (72 in) from the trunk. Excavation with the backhoe bucket tines began at the outer edge of a barrier (at the bases of the trunk in control trees), and soil was progressively loosened away from the trunk to a radius of 180 cm. The backhoe portion of the procedure was monitored directly by the authors and involved tedious, careful, and methodic use of the tines to loosen the upper 8 to 10 cm of soil and tease out the roots of each tree that were 1.25 cm (0.5 in) or greater in diameter. Hand-raking immediately followed the backhoe operation to remove the remaining soil to a final depth of 15 cm and to clearly expose the principal root system found within the excavated area. Caution was taken during excavation to avoid breaking off roots 1.25 cm or greater in diameter. In the rare instances when a root was broken off, the location it was growing in was marked so that it could be replaced and recorded during data collection.

The excavated area was divided into five concentric radial distance zones from the center of the trunk as described in Table 2. These zones enabled us to compare the barriers' effectiveness in reducing surface root development and to narrowly delineate

Table 2. The five concentric radial distance zones from the center of each tree trunk in which roots to a depth of 15 cm were quantified in a root barrier study, Riverside, CA.

| Zone | Distance from trunk  | Distance represented  |
|------|--|---|
| 1    | 13 cm < radius ≤ 18 cm<br>(5 in < radius ≤ 7 in)   | From the perimeter of the #5 container barrier out to the perimeter of the #15 container and polyethylene barriers      |
| 2    | 18 cm $<$ radius $\le$ 30 cm (7 in $<$ radius $\le$ 12 in)                                   | From the perimeter of the #15<br>container and polyethylene<br>barriers out to the perimeter of the<br>DeepRoot barrier |
| 3    | $30 \text{ cm} < \text{radius} \le 60 \text{ cm}$<br>(12 in < radius $\le 24 \text{ in}$ )   | From the perimeter of the DeepRoot barrier out to 30 cm beyond the barrier  |
| 4    | $60 \text{ cm} < \text{radius} \le 120 \text{ cm}$<br>(24 in < radius $\le 48 \text{ in}$ )  | From 60 cm to 120 cm from trunk   |
| 5    | $120 \text{ cm} < \text{radius} \le 180 \text{ cm}$<br>(48 in < radius $\le 72 \text{ in}$ ) | From 120 cm to 180 from trunk   |

Table 3. Effects of five root barrier treatments on mean number of roots 1.25 cm (0.5 in) or greater diameter for two tree species averaged over five radial distance zones from the trunk 6 years after planting, Riverside, CA, 1998.

| Barrier                                     | Mean no. of roots |
|---|-------------------|
| Check                                       | 4.3 a             |
| Polyethylene sleeve                         | 2.3 b             |
| #5 container                                | 2.2 b             |
| DeepRoot®                                   | 1.1 c             |
| #15 container                               | 1.0 c             |
| Species                                     |                   |
| Liquidambar                                 | 2.6 a             |
| Ficus                                       | 1.9 b             |
| Least significant difference ( $P = 0.05$ ) | 0.5               |
| Statistical effects <sup>z</sup>            |                   |
| Species (S)                                 | *                 |
| Barrier (B)                                 | ***               |
| $S \times B$                                | NS                |

 $^{z}NS$ , \*, \*\*\*, \*\*\* = not significant and significant at  $P \le 0.05$ , 0.01, and 0.001, respectively. Means followed by the same letter are not significantly different.

the distance beyond each barrier treatment that surface roots appeared and grew. Roots with diameter 1.25 cm or greater were counted in each distance zone they grew in within the surface 15 cm of soil. In each zone, counted roots included those emerging from deeper than 15 cm in the soil within the zone as well as those growing into the zone after emerging into the surface 15 cm of soil in a different zone. Roots were classified into the following diameter size classes: 1) small,  $1.25 \text{ cm} \le \text{diameter}$  to less than  $2.5 \text{ cm} (0.5 \text{ in} \le \text{diameter} \text{ to less than } 1 \text{ in})$ ; 2) medium,  $2.5 \text{ cm} \le \text{diameter} \text{ to less than } 5 \text{ cm} (1 \text{ in} \le \text{diameter} < 2 \text{ in})$ ; and 3) large, diameter 5 cm or greater (2 in or greater).

### **RESULTS**

## **Surface Root Development**

Overall, barrier treatments reduced the total number of roots 1.25 cm or greater in diameter growing in the excavated area for both species with the DeepRoot and #15 container barriers allowing the fewest (Table 3). *Liquidambar* developed more measurable roots than *Ficus* in the excavated area regardless of

barrier treatment, and root systems of *Liquidambar* appeared more branched than those of *Ficus*. Reduced numbers of small roots were unearthed in the surface 15 cm of soil a short distance outside of each barrier treatment in both species (Tables 4 and 5). Thus, small roots were found within Zone 1 in #5 container barriers, Zone 2 in the #15 container and polyethylene sleeve barriers, and Zone 3 cm in the DeepRoot barrier. In both species, control and barrier-treated trees had produced few medium or large roots beyond a 120 cm radius (Zone 4) from the trunk.

In *Ficus*, barrier treatments equally reduced small-diameter roots to a radius of 60 cm (Zones 1 to 3) from the trunk and medium- and large-diameter roots to a radius of 120 cm (Zones 1 to 4) from the trunk (Table 4). Occasionally, *Ficus* roots breached the #5 container or polyethylene sleeve barriers. In *Liquidambar*, the #15 container and DeepRoot treatments equally reduced small-diameter roots to a radius of 60 cm from the trunk, but the #5 container and polyethylene sleeve treatments failed to reduce small roots within this radius (Table 5). Barrier treatments were equally effective in eliminating large *Liquidambar* roots to a radius of 60 cm from the trunk, and all barriers except the polyethylene sleeve reduced medium-diameter roots to a radius of 180 cm (Zones 1 to 5) from the trunk. *Liquidambar* roots of all size classes were often found growing out through breaches in the sides of the #5 container and polyethylene sleeve barriers.

Trunks of both species grew large enough in caliper during the 6-year study period for their bases to fill and frequently split the #5 container barriers, whereas the taped seams of the polyethylene sleeve barriers repeatedly failed. Also, the exposed rim of the polyethylene sleeve degraded with time and occasional small roots of both species were observed growing over this barrier. Because *Liquidambar* generally produced more roots of all sizes and more roots closer to the trunk than *Ficus*, the structural failures of these two barrier treatments made them ineffective at reducing small- and medium-sized roots of *Liquidambar*.

#### Tree Growth

Cumulative increase in trunk caliper of both species 6 years after planting was reduced only by the #15 container barrier, and *Ficus* had greater cumulative increase in trunk caliper than *Liquidambar* (Table 6). There was an interaction between tree species and barriers on cumulative height increase (Table 7). *Ficus* height growth was unaffected by barrier treatment, but height increase of *Liquidambar* was reduced in the DeepRoot and #15 container

Table 4. Effects of root barriers on mean numbers of small, medium, and large roots of *Ficus microcarpa* present within 15 cm (6 in) of the soil surface in five radial distance zones from the trunk 6 years after planting, Riverside, CA, 1998.<sup>z</sup>

|   | Zone  | 1 (3 cm <<br>≤ 18 cm) |       | Zone 2 (18 cm < radius ≤ 30 cm) |        | Zone 3 (30 cm < radius ≤ 60 cm) |       |        | Zone 4 (60 cm < radius ≤ 120 cm) |       |        | Zone 5 (120 cm < radius ≤ 180 cm) |       |        |       |
|---|-------|-----------------------|-------|---------------------------------|--------|---------------------------------|-------|--------|----------------------------------|-------|--------|-----------------------------------|-------|--------|-------|
| Barrier                                   | Small | Medium                | Large | Small                           | Medium | Large                           | Small | Medium | Large                            | Small | Medium | Large                             | Small | Medium | Large |
| Check                                     | 1.6 a | 2.9 a                 | 4.2 a | 2.9 a                           | 3.1 a  | 3.6 a                           | 5.3 a | 3.7 a  | 2.5 a                            | 9.5   | 5.2 a  | 1.0 a                             | 6.8   | 2.4    | 0.1   |
| #5 container                              | 0.6 b | 0.3 b                 | 0.6 b | 1.3 b                           | 0.5 b  | 0.6 bc                          | 2.1 b | 1.9 b  | 0.5 b                            | 8.2   | 1.9 b  | 0.0 b                             | 5.6   | 0.7    | 0.0   |
| Polyethylene sleeve                       | _     | _                     | _     | 0.7 b                           | 0.9 b  | 0.9 c                           | 2.4 b | 1.9 b  | 1.0 b                            | 5.7   | 2.8 b  | 0.6 ab                            | 6.7   | 2.5    | 0.1   |
| #15 container                             | _     | _                     | _     | 0.4 b                           | 0.2 b  | 0.0 c                           | 2.3 b | 0.6 c  | 0.2 b                            | 5.4   | 1.1 b  | 0.2 b                             | 5.4   | 1.2    | 0.0   |
| DeepRoot®                                 | _     | _                     |       |                                 |        | _                               | 1.5 b | 0.8 bc | 0.1 b                            | 6.5   | 1.7 b  | 0.0 b                             | 7.1   | 1.4    | 0.0   |
| Least significant difference $(P = 0.05)$ | 0.8   | 0.9                   | 0.8   | 1.4                             | 1.2    | 0.8                             | 1.9   | 1.2    | 1.0                              | NS    | 1.9    | 0.6                               | NS    | NS     | NS    |

<sup>a</sup>Root diameter size classes: small,  $1.25 \text{ cm} \le \text{diameter} < 2.5 \text{ cm}$  (0.5 in  $\le \text{diameter} < 1 \text{ in}$ ); medium,  $2.5 \text{ cm} \le \text{diameter} < 5 \text{ cm}$  (1 in  $\le \text{diameter} < 2 \text{ in}$ ); large, diameter  $\le 5 \text{ cm}$  (diameter  $\le 2 \text{ in}$ ).

yNS = not significant: means within columns followed by the same letter are not significantly different.

Table 5. Effects of root barriers on mean numbers of small, medium, and large roots of *Liquidambar styraciflua* present within 15 cm (6 in) of the soil surface in five radial distance zones from the trunk 6 years after planting, Riverside, CA, 1998.<sup>z</sup>

|                         | Zone 1 (3 cm < radius<br>≤ 18 cm) |        | Zone 2 (18 cm < radius<br>≤ 30 cm) |        | Zone 3 (30 cm < radius<br>≤ 60 cm) |       |        | Zone 4 (60 cm < radius ≤ 120 cm) |       |       | Zone 5 (120 cm < radius ≤ 180 cm) |       |       |        |       |
|-------------------------|-----------------------------------|--------|------------------------------------|--------|------------------------------------|-------|--------|----------------------------------|-------|-------|-----------------------------------|-------|-------|--------|-------|
| Barrier                 | Small                             | Medium | Large                              | Small  | Medium                             | Large | Small  | Medium                           | Large | Small | Medium                            | Large | Small | Medium | Large |
| Check                   | 3.7 a                             | 3.1 a  | 4.0 a                              | 7.0 a  | 4.6 a                              | 4.2 a | 11.9 a | 6.6 a                            | 2.3 a | 14.6  | 4.8 a                             | 0.4   | 6.3   | 1.7 a  | 0.1   |
| #5 container            | 4.0 a                             | 1.4 b  | 0.3 b                              | 6.8 a  | 1.6 bc                             | 0.0 b | 9.0 a  | 2.2 bc                           | 0.1 b | 8.4   | 0.7 b                             | 0.0   | 5.3   | 0.4 b  | 0.0   |
| Polyethylene sleeve     | _                                 | _      | _                                  | 3.9 ab | 2.0 b                              | 0.5 b | 9.4 a  | 3.8 ab                           | 0.8 b | 12.9  | 2.7 ab                            | 0.2   | 6.4   | 0.5 b  | 0.0   |
| #15 container           | _                                 | _      | _                                  | 1.5 bc | 0.0 c                              | 0.0 b | 3.1 b  | 0.1 c                            | 0.0 b | 5.4   | 0.5 b                             | 0.0   | 2.5   | 0.1 b  | 0.0   |
| DeepRoot®               | _                                 | _      | _                                  | _      | _                                  | _     | 2.1 b  | 0.6 c                            | 0.0 b | 7.0   | 0.4 b                             | 0.0   | 4.2   | 0.1 b  | 0.0   |
| Least significant       | 2.0                               | 1.5    | 0.9                                | 3.8    | 1.8                                | 1.2   | 4.3    | 3.0                              | 1.2   | NS    | 3.2                               | NS    | NS    | 1.1    | NS    |
| difference $(P = 0.05)$ |                                   |        |                                    |        |                                    |       |        |                                  |       |       |                                   |       |       |        |       |

 $<sup>^{</sup>z}$ Root diameter size classes: small, 1.25 cm  $\leq$  diameter < 2.5 cm (0.5 in  $\leq$  diameter < 1 in); medium, 2.5 cm  $\leq$  diameter < 5 cm (1 in  $\leq$  diameter < 2 in); large, diameter  $\leq$  5 cm (diameter  $\leq$  2 in).

barriers, with the #15 container treatment having the most dramatic height reduction. Trees growing in the #15 container and DeepRoot barrier treatments also produced the fewest total roots in the excavated area, which may be in part responsible for the reduced growth exhibited by trees in these barriers. Trees whose growth was reduced did not express diminution in any other aesthetic characteristics.

## **DISCUSSION**

Our findings provide confirmation of similar results from the shorter-term studies reviewed here, document the size of larger roots that develop near the surface 6 years after planting, and narrowly delineate the distance outside a barrier where surface roots will likely appear several years after installation. The results demonstrate circular physical root barriers of various dimensions and formed from of a variety of low-cost materials are about as effective as commercial root barriers and can nearly eliminate large surface tree roots to a 180 cm radius of the trunk for at

Table 6. Mean cumulative trunk caliper increase in Liquidambar styraciflua and Ficus microcarpa 6 years after planting in five circular root barrier treatments, Riverside, CA, 1998.

| Barrier treatment                                | mm       |
|--|----------|
| Check  | 160.0 a  |
| 12 mil polyethylene                              | 156.0 ab |
| 5 gallon   | 161.8 a  |
| DeepRoot®  | 159.7 a  |
| 15 gallon  | 143.9 b  |
| Least significant difference $(P = 0.05)$        | 12.6     |
| Species treatment                                |          |
| Liquidambar                                      | 149.1 a  |
| Ficus  | 162.8 b  |
| Least significant difference $(P = 0.05)$        | 8.0      |
| 2 × 5 factorial statistical effects <sup>z</sup> |          |
| Species (S)                                      | ***      |
| Barrier (B)                                      | **       |
| $S \times B$                                     | NS       |

 $^{x}NS$ ,  $^{*}$ ,  $^{***}$ ,  $^{***}$  = not significant, and significant at P = 0.05, 0.01, and 0.001, respectively; means followed by the same letter are not significantly different.

least 6 years after trees are planted. For container-grown trees, simply removing the bottom of a tree's nursery container and planting it directly in the landscape can effectively reduce the number of large surface roots that develop. Our findings agree with Costello et al. (1997) in that trees produce fewer surface roots 120 to 180 cm from the trunk. Thus, simply keeping pavement and other hardscape at least 120 cm away from trees might be equally effective as a root barrier in reducing the possibility of surface root problems.

However, 6 years after barriers and trees were installed, smaller roots had returned in reduced numbers to the surface soil just beyond the barriers, and we found many roots 1.25 cm or greater in diameter less than 2.5 cm growing in the upper 15 cm of the soil just beyond barriers and within 18 to 60 cm of the tree trunk. No barrier treatment reduced the number of small roots (those greater than 1.25 and less than 2.5 cm in diameter in this study) of either species beyond 60 cm radius from the trunk. It is reasonable that in many sites, roots in this diameter class would continue growing in diameter and could potentially cause damage to overlaying or adjacent pavement and infrastructure in the future. The results underscore conclusions of Costello et al. (1997) that root barriers only delay problems from surface roots and of Gilman (1996) that reduced root numbers do not necessarily mean less root damage.

Table 7. Mean cumulative height increase in *Liquidambar* styraciflua and *Ficus microcarpa* 6 years after planting in five circular root barrier treatments, Riverside, CA, 1998.

| Barrier treatment                                | Ficus (cm) | Liquidambar (cm) |
|--|------------|------------------|
| Check  | 379.6      | 496.4 a          |
| 12 mil polyethylene                              | 396.5      | 437.6 a          |
| 5 gallon   | 445.3      | 451.8 ab         |
| DeepRoot®  | 455.4      | 402.9 b          |
| 15 gallon  | 393.2      | 329.0 b          |
| Least significant difference $(P = 0.05)$        | NS         | 75.1             |
| 2 × 5 factorial statistical effects <sup>z</sup> |            |                  |
| Species (S)                                      |            | NS               |
| Barrier (B)                                      |            | **               |
| $S \times B$                                     |            | ***              |

 $^{x}NS$ ,  $^{*}$ ,  $^{***}$ ,  $^{***}$  = not significant, and significant at P = 0.05, 0.01, and 0.001, respectively; means followed by the same letter are not significantly different.

<sup>&</sup>lt;sup>y</sup>NS = not significant; means within columns followed by the same letter are not significantly different.

The depth of the barrier and its interior surface configuration (smooth or vertical ribs) are less important than its diameter, because the #5 container barrier failed because it was too small in diameter, whereas the #15 container and DeepRoot barriers performed equally well even though the #15 container was 18 cm (7 in) shorter and smooth inside. Although the #5 container barrier reduced the number of surface roots that developed during the term of our study, our results also indicate that root barriers should be constructed of durable material and installed with the top edge exposed, similar to conclusions of Peper and Barker (1993). Also, they must be large enough in diameter [36 cm (14 in) minimum] to accommodate the roots and root crowns of mature trees to maximize the effect on surface root development.

Although others concluded that root barriers may be most effective at reducing surface root development in sites with well-drained, noncompacted soil (Wagar 1985; Urban 1994; Barker 1995a, 1995b; Gilman 1996), we found that tree roots grew below a barrier and returned to the surface soil a very short distance beyond the barriers even when soil texture, bulk density, and water content were near optimum for root growth at the bottom of the barrier. The uniform coarse sandy loam soil in our experimental plot was maintained at well-watered conditions with irrigation throughout the study, and its bulk density from the surface to the depth of the barrier was 1.5 g/cm<sup>-3</sup> or less, which is not limiting to root growth in sandy loam soil (Veihmeyer and Hendrickson 1948; Zisa et al. 1980). These findings are supported by Gilman (2006) in which barriers in well-drained soil did not produce deeper root systems.

The tree growth results establish that circular root barriers can reduce growth in some tree species, although the reductions documented in the study were not viewed to be enough to reduce the trees' aesthetic value. Data from the study also provide documentation of surface root system distribution for *Ficus* and *Liquidambar*.

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Résumé. L'influence de quatre barrière racinaires circulaires sur le développement des racines de surface et sur la croissance de l'arbre a été évalué sur le Liquidambar styraciflua et le Ficus macrocarpa dans le Sud de la Californie. Six ans après leur installation, les barrières racinaires ont réduit le nombre total de racines poussant dans les 15 premiers centimètres de sol et ont pratiquement éliminé les grosses racines de surface (5 cm et plus de diamètre) dans un rayon de 120 cm autour du tronc. Divers types et dimensions de barrières non commerciales à faibles coûts - incluant les pots servant à la production des arbres en pépinière dont on avait enlevé le fond – se sont aussi avérés efficaces pour diminuer le nombre de grosses racines de surface. Cependant, les racines ont poussé sous les barrières et sont par la suite revenu à la surface, et ce même si la texture du sol, sa densité et son contenu en eau étaient à peu près à un degré optimum pour la croissance des racines sous le niveau de la barrière. Plusieurs petites racines de 1,25 à 2,5 cm de diamètre ont été observées qui poussaient dans les 15 premiers centimètres de sol juste au-delà de la barrière soit à 18 à 60 cm de distance du tronc. Aucun type de barrière ne parvient à réduire le nombre de petites racines de chacune de ces deux espèces au-delà d'un rayon de 60 cm du tronc. Maintenir les surfaces pavées à une distance minimale de 120 cm de l'arbre devrait être aussi

efficace qu'une barrière racinaire pour diminuer les risques de dommages par les grosses racines de surface. Le pot de production en pépinière de calibre #15 qui a servi de barrière racinaire a causé une diminution de la croissance en diamètre du tronc chez les deux espèces tandis que cette méthode ainsi que la barrière DeepRoot® ont causé une diminution de la croissance en hauteur chez le *Liquidambar*.

Zusammenfassung. In dieser Studie wird der Einfluss von 4 Wurzelbarrieren auf die Wurzelentwicklung und das Baumwachstum bei Amberbaum und Lorbeerfeige in Südkalifornien bewertet. 6 Jahre nach der Installation hatten die Wurzelbarrieren die Gesamtzahl der Wurzeln, die in die oberflächigen ersten 15 cm Boden wuchsen, reduziert und nahezu alle größeren (5 cm und größer) Wurzeln bis 120 cm Radius vom Stamm eliminiert. Verschiedene Größen und Typen von billigen, nicht-kommerziellen Wurzelbarrieren einschließlich von bodenlosen Pflanzcontainern waren ebenso effektiv bei der Reduzierung von größeren Wurzeln. Dennoch wuchsen auch Wurzeln unter den Barrieren durch wieder an die Oberfläche, obwohl die Bodenbedingungen im Oberboden suboptimal und am Boden der Wurzelbarrieren optimal waren. Viele kleine Wurzeln mit einem Durchmesser von 1,25 cm, weniger als 2,5 cm, wurden in den oberen 15 cm Boden gerade außerhalb der Barrieren innerhalb von 18 bis 60 cm Entfernung vom Stamm gefunden. Keine Barriere konnte die Anzahl der kleinen Wurzeln jenseits des Abstands von 60 cm zum Stamm reduzieren. Ein Abstand des Pflasters von 120 cm zum Stamm würde genauso effektiv sein wie eine Wurzelbarriere bei der Reduzierung von Schaden durch große, oberflächennah wachsenden Wurzeln. Ein # 15 Pflanzcontainer reduzierte bei beiden Arten den Umfang, und ein Pflanzcontainer kombiniert mit einer Wurzelbarriere reduzierte das Höhenwachstum von Amberbäumen.

Resumen. Se evaluó la influencia de cuatro barreras circulares para el desarrollo de raíces superficiales y el crecimiento de árboles de Liquidambar styraciflua (American sweetgum) y Ficus microcarpa (Laurel de la India) en el sur de California, U.S. Seis años después de la instalación, las barreras de raíces habían reducido el número total de raíces creciendo en los 15 cm superficiales de suelo y casi eliminaron las grandes raíces superficiales (diámetros de 5 cm o mayores) dentro de un radio de 120 cm (48 pulg) del tronco. Varios tipos y tamaños de barreras no comerciales de bajo costo, incluyendo un contenedor de vivero con el fondo removido, fueron igualmente efectivos en reducir el número de raíces superficiales de gran diámetro. Sin embargo, las raíces crecieron debajo de las barreras y regresaron a la superficie del suelo, aún cuando la textura del suelo, la densidad y el contenido de humedad fueron cerca del óptimo para el crecimiento de las raíces en el fondo de la barrera. Muchas raíces pequeñas (≤ 2.5 cm) fueron encontradas en los 15 cm superiores de suelo, más allá de las barreras dentro de los 18 a 60 cm (7.2 a 24 pulg) del tronco. Ningún tratamiento de barreras redujo el número de raíces pequeñas de ambas especies más allá de 60 cm (24 pulg) de radio del tronco. El mantenimiento del pavimento al menos 120 cm (48 pulg) retirado de los árboles podría ser tan efectivo como una barrera de raíces en reducir la posibilidad de daño de raíces superficiales grandes. Un contenedor de vivero #15 sirviendo como una barrera de raíces redujo el incremento del calibre de ambas especies, mientras que este tratamiento y el tratamiento DeepRoot® redujo el incremento en altura del Liquidambar.